Mandatory returnable schedule:

Conflict of Interests

#### Proponent Information

**Proponent Name:**

**Bid ID:**

#### Guidance Notes

The purpose of this form is for Proponents to provide notiﬁcation to the Australian Government and AEMO, in accordance with Section 4.14 of the Tender Guidelines, of any:

(a) actual, potential or perceived conﬂict of interests; or

(b) other circumstances which may have an adverse eﬀect on the CIS Tender 4 Process,

in respect of the Proponent, its Associates or the Project, at any time during the CIS Tender 4 Process.

Proponents should read the Tender Guidelines (in particular Section 4.14) prior to completing this form and then fully disclose any required matters. If in doubt, disclose.

Capitalised terms in this form that are not otherwise deﬁned here have the same meaning as in the Tender Guidelines. The terms and conditions set out in the Tender Guidelines apply in respect of this form and the information provided pursuant to it.

The Proponent must not place itself, and must ensure that its Associates do not place themselves, in a position that may or does give rise to an actual, potential or perceived conﬂict between:

(a) the interests of the Australian Government or AEMO; and

(B) the interests of the Proponent or its Associates,

at any time during the CIS Tender 4 Process, as outlined in Section 4.14 of the Tender Guidelines.

Please complete this form with details of any relevant actual, potential or perceived conﬂict of interests, or other circumstances which may have an adverse eﬀect on the CIS Tender 4 Process, with an accompanying explanation and any supporting materials.

In the following section, the Proponent will be asked to:

(a) answer questions to assist the Australian Government and AEMO to determine the nature of the conﬂict of interests or other circumstances; and

(b) provide an accompanying explanation detailing the conﬂict of interests or other circumstances, along with the proposed initiatives to mitigate the risk(s) arising from the disclosed conﬂict of interests or other circumstances.

**Select the category to which the conﬂict of interests relates:**

☐ No conflict of interests to declare

☐ Conﬂict of interests between the Proponent and a Competing Proponent

☐ Conﬂict of interests between the Proponent and the Australian Government or AEMO

☐ Conﬂict of interests in connection with a Proponent’s Associates

☐ Conﬂict of interests in connection with an Australian Government or AEMO Associate

☐ Other: *<provide more details if other. Add here details of circumstances in doubt.>*

**Provide an explanation of the relevant actual, potential or perceived conﬂict of interests, or other circumstances which may have an adverse eﬀect on the CIS Tender 4 Process, including without limitation:**

* details of the actual, potential or perceived conﬂict of interests / circumstances which may have an adverse eﬀect, including details of:
  + the relevant entities involved and the connection/s or issue/s; and
  + whether the conflict is, or other circumstances are, historical, current or future.
* whether conﬁdential information has been shared with a Competing Proponent;
* whether the Proponent or any of its Associates is engaged by, or was engaged at any time after the date 12 months immediately preceding the Registration Date by, or is aware of any relationship that the Proponent or any of its Associates may have with:
  + the Australian Government, AEMO or any Authority involved or connected with the Project or the administration of the CIS Tender 4 Process; or
  + any experts, consultants or advisers appointed by the Australian Government, AEMO or their Associates for the purpose of the CIS Tender 4 Process;
* whether the Proponent’s Bid was prepared or otherwise assisted by a person who or which:
  + is or was an Associate of, or was otherwise engaged by, the Australian Government or AEMO at any time after or during the 12 months immediately preceding, the Registration Date; or
  + was involved in the management of the CIS Tender 4 Process, or the preparation of the Tender Documentation or Project Documents, at any time; and
* details of any processes, safeguards and protocols in place (or proposed to be put in place) to manage the treatment of conﬁdential information from another party, or to mitigate the risk of any conﬂict of interests for Associates (e.g. personnel separation, IT information barriers, and ringfencing).

If applicable, does the Proponent consent to the disclosure of the approximate revenue earned in the last two years by the Associate of the Australian Government or AEMO from the Proponent? This information is necessary to assess potential pecuniary conflicts of interests. If consent is not provided, the Australian Government or AEMO may ask the relevant Associate of the Australian Government or AEMO to seek the Proponent’s consent directly to make such disclosure, and then to provide the information to the Australian Government or AEMO:

 Yes

Proponents should note that the policy intent of Section 4.14 in the Tender Guidelines is not to restrict key contractors, OEMs, banks, etc. from working across multiple projects, so long as appropriate controls on conﬂict of interests are in place (**Conflict Protocols**). The requirement for Conﬂict Protocols is in order to ensure that any competition and collusion related issues are appropriately managed.

This form must be completed again and resubmitted if any new conflict of interests arises, or appears likely to arise, at any time that is after submission of this form and before the Proponent either signs a Generation CISA or is advised that it has been unsuccessful in the CIS Tender 4 Process.

**Provide detail here:**